

TALK 11: IWASAWA THEORY

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§1 Kato's Euler system

Notation: fix $k \geq 2$ weight, $N \geq 1$ level,

$$f = \sum_{n \geq 1} a_n q^n \in S_k(X_1(N)) \otimes \mathbb{C} \quad \text{normalised newform}$$

$$F := \mathbb{Q}(a_n : n \geq 1) \quad \text{coefficient field (finite extn of } \mathbb{Q})$$

$$\lambda | p \quad \text{a place of } F$$

$$r \in \mathbb{Z}$$

$$T := V_{\mathcal{O}_\lambda}(f)(k-r) \xleftarrow{\text{Tate twist}} \text{cohomology on } Y_1(N)(\mathbb{C}), \text{ namely}$$

$$\text{Recall: } V_F(f) := V_{k,F}(Y_1(N)) / \langle T(n) \otimes 1 - 1 \otimes a_n : n \geq 1 \rangle_F$$

$$V_{F_\lambda}(f) := V_F(f) \otimes_F F_\lambda$$

$$V_{\mathcal{O}_\lambda}(f) := \mathcal{O}_\lambda\text{-submodule gen'd by the image of } V_{k, \mathbb{Z}_p}(Y_1(N))$$

$$\begin{aligned} V_{k,A}(Y_1(N)) &:= \\ H^1(Y_1(N), \text{Sym}_{\mathbb{Z}}^{k-2}(\mathcal{H}_1^1) \otimes_{\mathbb{Z}} A) \\ \text{where } \mathcal{H}_1^1 &= R^1 \lambda_* \mathbb{Z}, \\ \lambda: E &\rightarrow Y_1(N) \end{aligned}$$

Fact: $V_{\mathcal{O}_\lambda}(f)$ is a free $\mathcal{O}_\lambda$ -module of rank 2, because $V_F(f)$ is an F -space of dim 2. (8.3)

$G_{\mathbb{Q}} \curvearrowright V_{\mathbb{Q}_p}(Y_1(N))$ descends to an F_λ -linear action $G_{\mathbb{Q}} \curvearrowright V_{F_\lambda}(f)$ resp. an $\mathcal{O}_\lambda$ -linear action $G_{\mathbb{Q}} \curvearrowright V_{\mathcal{O}_\lambda}(f)$.

Fact: this action is unramified at almost all primes (why?)

Fix $1 \leq j \leq k-1$ and $c, d \in \mathbb{Z} - \{0\}$

Let ξ be either a symbol $a(A)$, i.e. a tuple $(a, A) \in \mathbb{Z} \times \mathbb{Z}$ such that $(c, 6_p A) = (d, 6_p N) = 1$, or $\xi \in \text{SL}_2(\mathbb{Z})$, in which case we assume $(cd, 6_p N) = 1$.

$$\text{Let } \Sigma := \begin{cases} \text{prime}(cdp \cdot AN) & \text{if } \xi = a(A) \\ \text{prime}(cdp \cdot N) & \text{if } \xi \in \text{SL}_2(\mathbb{Z}) \end{cases}$$

$$\Xi := \{m \geq 1 : l \in \Sigma - \{p\} \Rightarrow l \nmid m\} \rightarrow \text{indexing set for the ES}$$

$$Z_m := \begin{cases} c, d \cdot \mathbb{Z}_m^{(p)}(f, r, j, \xi, \text{prime}(mN)) & \text{if } \xi = a(A) \\ c, d \cdot \mathbb{Z}_m^{(p)}(f, r, j, \xi, \text{prime}(mN)) & \text{if } \xi \in \text{SL}_2(\mathbb{Z}) \end{cases}$$

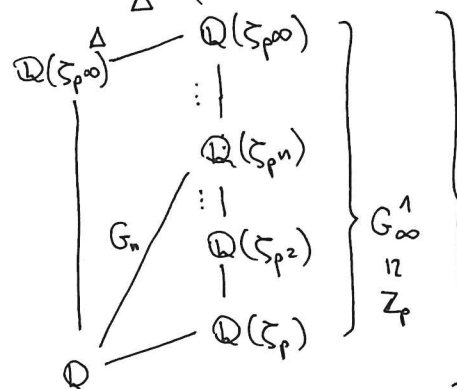
$$\in H^1(\mathbb{Z}[\frac{1}{p}], T) \quad \text{for } m \in \Xi$$

This is an Euler system for (T, F_λ, Σ) by Zhang's tal.

We will use the well-known Euler system machinery to gain information about the cohomology of T along the cyclotomic tower.

§2 Iwasawa cohomology

$$\Delta \cong (\mathbb{Z}/p\mathbb{Z})^* \text{ for } p \neq 2$$



Let T be a fin gen $\mathbb{Z}_p$ -module with a ctg $G_{\mathbb{Q}} \curvearrowright T$ unramified almost everywhere
 Let V be a fin dim $\mathbb{Q}_p$ -v.s. with ctg $G_{\mathbb{Q}} \curvearrowright V$ unram almost everywhere s.t. T is a $G_{\mathbb{Q}}$ -stable $\mathbb{Z}_p$ -lattice.
 E.g. $T = V_{O_\lambda}(F)$, $V = V_{F_\lambda}(F)$

We have the following Iwasawa-étale cohomology groups: recall $H^q(R, T) := \varprojlim_m H^q(R, T/p^m)$.

$$H^q(T) := \varprojlim_n H_{\text{ét}}^q(\mathbb{Z}[\zeta_{p^n}, \frac{1}{p}], T)$$

$$H^q(V) := H^q(T) \otimes \mathbb{Q}$$

$$H_{\text{loc}}^q(T) := \varprojlim_n H_{\text{ét}}^q(\mathbb{Q}_p(\zeta_{p^n}), T)$$

$$H_{\text{loc}}^q(V) := H_{\text{loc}}^q(T) \otimes \mathbb{Q}$$

Note: $H^q(T) = 0$ for $q \neq 1, 2$, and fin gen over $\mathbb{Z}_p \llbracket G_\infty \rrbracket$ for $q = 1, 2$.

Leopoldt's conjecture: $H^2(T)$ should be torsion over $\mathbb{Z}_p \llbracket G_\infty \rrbracket$.

Euler system machinery: Theorem (Rubin et al.) Let $\Lambda := \mathbb{O}_\lambda \llbracket G_\infty \rrbracket$, and $Z := \langle (z_{p^n})_n : n \geq 0 \rangle_\Lambda \leq H^1(T)$ submod, and $\mathcal{J} := (h(Z) : h \in \text{Hom}_\Lambda(H^1(T), \Lambda)) \subseteq \Lambda$ ideal, and $H^2(T)_b := \ker(H^2(T) \rightarrow H_{\text{loc}}^2(T))$.

Assume that: i) $\forall q \in \text{Spec}(\Lambda), \text{ht}(\mathfrak{o}_q) = 0 : \mathbb{Z}_{\mathfrak{o}_q} \neq 0$

T is free of rank 2 over $\mathbb{O}_\lambda \rightarrow$ ii) $\text{rank}_{\mathbb{O}_\lambda}(T^+) = \text{rank}_{\mathbb{O}_\lambda}(T^-) = 1$

Deligne $\rightarrow$ iii) $\exists w \in \mathbb{Z} \quad \forall l \notin \Sigma : \text{all eigenvalues of } Fr_l^{-1} \text{ on } T \otimes_{\mathbb{O}_\lambda} \overline{F}_\lambda \text{ on an } \overline{F}_\lambda\text{-space have algebraic, and all ex cony have } l \cdot l = l^{w/2}$

Ribet $\rightarrow$ iv) $T \otimes_{\mathbb{O}_\lambda} F_\lambda$ is an irreducible $G_{\mathbb{Q}}$ -rep over F_λ
 v) $\exists \sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}(\zeta_{p^\infty})) : \dim_{\mathbb{F}_\lambda}(\ker(T \otimes_{\mathbb{O}_\lambda} F_\lambda \xrightarrow{1-\sigma} T \otimes_{\mathbb{O}_\lambda} F_\lambda)) = 1$.

Then: 1) $H^2(T)$ is torsion over Λ .

2) If $p \in \text{Spec}(\Lambda), \text{ht}(p) = 1, p \nmid p$, then $\text{length}_{\Lambda_p}(H^2(T)_{0,p}) \leq \text{length}_{\Lambda_p}(\Lambda_p/\mathcal{J}_p)$.

3) If $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}(\zeta_{p^\infty}))$ such that $\text{coker}(T \xrightarrow{1-\sigma} T)$ is free over $\mathbb{O}_\lambda$ of rank 1, and if $T \otimes_{\mathbb{O}_\lambda} \mathbb{O}_\lambda/\mathfrak{m}_\lambda$ is an irreducible $G_{\mathbb{Q}}$ -rep over $\mathbb{O}_\lambda/\mathfrak{m}_\lambda$, and if $p \neq 2$, then $\text{length}_{\Lambda_p}(H^2(T)_{0,p}) \leq \text{length}_{\Lambda_p}(\Lambda_p/\mathcal{J}_p)$ for all $p \in \text{Spec}(\Lambda), \text{ht}(p) = 1$.

(So the existence of such a σ removes the $p \nmid p$ condition.)

The theorem as stated here with conditions i-v) is the general form. For our specific ES (2m), we need to check that these conditions actually hold.

To discuss condition v), we introduce the following:

Def. f has CM if $\exists K/\mathbb{Q}$ imag quadratic and $\exists \psi: \rightarrow \mathbb{C}^\times$ Hecke char.

(i.e. ch how from the idèle class group) such that $L(f, s) = L(\psi, s)$.

where $L(\psi, s) := \prod_v (1 - \psi(v) N(v)^{-s})^{-1}$, v ranges over finite unram primes.

Theorem. (Ribet generalizing Serre) If f has no CM, then for ~~almost~~ all finite places λ of F , $\exists \mathcal{T}$ a $G_{\mathbb{Q}}$ -stable $\mathcal{O}_{\lambda}$ -lattice of $V_{F_{\lambda}}(f)$ and an $\mathcal{O}_{\lambda}$ -basis of $\mathcal{T}$ such that the image of the action $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}(\zeta_p)) \hookrightarrow G_{\mathbb{Q}} \rightarrow \text{GL}_2(\mathcal{O}_{\lambda})$ contains an open subgroup of $\text{SL}_2(\mathbb{Z}_p)$ wrt this basis.

It follows that v) holds whenever f has no CM.

However, when f does have CM, Kato claims that v) fails, and the proof is substantially different, using Rubin's work on IMC for quadr. imag. We restrict our attention to the CM case. ($\rightarrow$ §15 Kato)

§5 Verifying i)

It remains to verify condition i). (This will be independent of the non-CM assumption.)

We have: $V_{k, \mathbb{Q}}(Y(L)) \xrightarrow{\text{trace}} V_{k, \mathbb{Q}}(Y_1(N)) \xrightarrow{\text{quotient}} V_F(f)$
 $\alpha^* \delta_{L, L}(k, j) \xrightarrow{\text{def}} \delta_{1, N}(k, j, \alpha) \xrightarrow{\text{def}} \delta(f, j, \alpha)$ for $\alpha \in \text{SL}_2(\mathbb{Z})$

where $\delta_{L, L}(k, j)$ is defined as the image of a geodesic b/w cusps, and $N|L$.

m. (Ash-Stevens): $\langle \alpha^* \delta_{L, L}(k, j) : \alpha \in \text{GL}_2(\mathbb{Z}/L\mathbb{Z}), 1 \leq j \leq k-1 \rangle_{\mathbb{Z}} = V_{k, \mathbb{Z}}(Y(L)), L \geq 3$.

$\Rightarrow \exists \alpha \in \text{SL}_2(\mathbb{Z}) \exists j: \delta(f, j, \alpha)^{\pm} \neq 0$ where $\pm = \begin{cases} + & \text{if } -\sigma_{-1} \text{ is } 1 \text{ in } \Lambda_q \\ - & \text{if } -\sigma_{-1} \text{ is } -1 \text{ in } \Lambda_q \end{cases}$

Let $c, d \in \mathbb{Z}$ st. $(cd, 6p) = 1$, $c \equiv d \equiv 1 \pmod{N}$, $c^2 \neq 1 \neq d^2$.

Thm. 6.6 (Kato) $\sum_{b \in (\mathbb{Z}/m)^*} \chi(b) \text{per}_f(\sigma_b(z_m(f, r, r', \xi, S)))^{\pm} = L_S(f^*, \chi, r) (2\pi i)^{k-r-1} \delta(f, r', \xi)^{\pm}$

$\sum_{b \in (\mathbb{Z}/m)^*} \chi(b) \text{per}_f(\sigma_b(c, d z_m(f, r, r', \xi, S)))^{\pm} = L_S(f^*, \chi, r) (2\pi i)^{k-r-1} \gamma^{\pm}$

with γ given explicitly

$f^* = \sum_{n \geq 1} \bar{a}_n q^n$ dual modular form, $\text{per}_f: M_k(X_1(N)) \rightarrow V_{k, \mathbb{Q}}(Y)$

request

Here $\chi: (\mathbb{Z}/m)^{\times} \rightarrow \mathbb{C}^{\times}$ is a group homomorphism, r, r' satisfy same

numeration, $L_S(f, \chi, s) = \sum_{(n, S)=1} \frac{a_n \chi(n)}{n^s} = \prod_{l \notin S} \frac{1}{1 - a_l \chi(l) l^{-s} + \varepsilon(l) \chi^2(l) l^{k-1-2s}}$

where $\varepsilon: (\mathbb{Z}/N\mathbb{Z})^{\times} \rightarrow \mathbb{C}^{\times}$, $\begin{pmatrix} 1/d & 0 \\ 0 & d \end{pmatrix}^* f = \varepsilon(d) f$ for $d \in (\mathbb{Z}/N)^{\times}$ and $\varepsilon(l) = 0$ for $l|N$.

Theorem 9.7 (Kato) $\exp_f^*: H^1(\mathbb{Q}(\zeta_m) \otimes \mathbb{Q}_p, V_{F_\lambda}(f)(k-r)) \longrightarrow S(f) \otimes_F \overline{F}_\lambda \otimes \mathbb{Q}(\zeta_m)$

img of $c.d. z_m^{(p)}(f, r, r', \xi, S) \longmapsto c.d. z_m(f, r, r', \xi, S) \in S(f) \otimes \mathbb{Q}(\zeta_m)$

Theorem (Jacquet-Shalika) $L(f, s) \neq 0$ for $\text{Re}(s) \geq \frac{k+1}{2}$

Theorem (Rohrlich) For $2|k$: $\left\{ \chi \in \bigcup_{\substack{n \geq 1 \\ \text{prime}(n) \leq S}} \text{Hom}((\mathbb{Z}/m)^{\times}, \mathbb{C}^{\times}) : L_S(f, \chi, \frac{k}{2}) = 0 \right\}$ is finite.

Putting things together: consider the ~~sequence~~ composite map

$$H^1(V_{\alpha}(f)) \cong H^1(V_{\alpha}(f)(1)) \longrightarrow H^1(\mathbb{Q}_p(\zeta_{p^n}), V_{F_\lambda}(f)(1)) \xrightarrow{\exp^*} S(f) \otimes_F \overline{F}_\lambda \xrightarrow{\chi} S(f) \otimes_F \overline{F}_\lambda$$

$$a \longmapsto \sum_{\sigma \in G_n} \sigma(a) \otimes \chi(\sigma)$$

where $\chi: G_\infty \rightarrow \overline{F}_\lambda^{\times}$ is of finite order, $\chi(-1) = \pm 1$, and $n \geq 0$ s.t. χ factors through G_n .

Kato + Jacquet-Shalika + Rohrlich $\Rightarrow$ for almost all such χ , the image of $(c.d. z_{p^n}^{(p)}(f, k, j, \alpha, \text{prime}(pN)))_{n \geq 1} \in \mathbb{Z}$ is not zero.

The map $(*)$ factors through $H^1(V_{F_\lambda}(f)) / p H^1(V_{F_\lambda}(f))$ for $p = \ker(\Lambda \rightarrow \overline{F}_\lambda)$

Therefore there are infinitely many primes p of height 1 s.t. $p > q$ and s.t. the image of $\mathbb{Z}$ in $H^1(V_{F_\lambda}(f)) / p$ is not zero. Hence $\mathbb{Z}_q \neq 0$.

$$G_\infty \ni \sigma \mapsto \chi(\sigma)^{-1} \chi(\sigma)^{-1} \text{ a.c.}$$

now we will use the ES machinery to prove some important theorems.

~~Haruzono~~

§6 Main theorem 1 $\Lambda := \mathcal{O}_\lambda[[G_\infty]]$

Thm. For any $G_\mathbb{Q}$ -stable $\mathcal{O}_\lambda$ -lattice T of V_{F_λ} :

- 1) $H^2(T)$ is torsion over Λ
- 2) $H^1(T)$ is torsion free over Λ , and $H^1(T) \otimes \mathbb{Q} = H^1(V_{F_\lambda}(f))$ is free of rank 1 over $\Lambda \otimes \mathbb{Q}$
- 3) If $p \neq 2$ and if $T/m_\lambda T$ is irreducible as a 2-dim $G_\mathbb{Q}$ -rep ~~of~~ over $\mathcal{O}_\lambda/m_\lambda$, then $H^1(T)$ is free of rank 1 over Λ .

The proof is not that interesting, and will be skipped.

Proof. ① is a consequence of ESM 1, reducing to the case $T = V_{\mathcal{O}_\lambda}(f)$, which can be done by Ribet's result in §4.

② The first assertion implies the rest. Indeed, assume torsion freeness of $H^1(T)$. Tate and Parin-Riou showed (in higher generality) that

$$\underbrace{\dim H^1(T)_\eta}_{1} - \underbrace{\dim H^2(T)_\eta}_{0 \text{ by 1)}} = \underbrace{\text{rank}_{\mathbb{Z}_p}(T^-)}_{1 \text{ by ii) of ESM}} \quad \forall \eta \in \text{Spec}(\Lambda), \text{ht}(\eta) = 0.$$

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So it remains to show torsion freeness.

Let $x \in \Lambda$ be a non-zero-divisor. Wts $x: H^1(T) \rightarrow H^1(T)$ injective.

$$\Lambda = \mathcal{O}_\lambda[[G_\infty]] \xrightarrow{\text{norm}} \mathcal{O}_\lambda[[G'_\infty]] \simeq \mathcal{O}_\lambda[[X]]$$

$$x \longmapsto p^n y \quad \text{for some } n \geq 1, y \text{ non-zero-divisor}$$

and $\Lambda/y\Lambda$ p -torsion-free.

Since $x | p^n y$, this reduces the proof to the two cases

- $x = p$ and
- $\Lambda/x\Lambda$ is p -torsion-free.

If $x = p$: $0 \rightarrow j_* T \xrightarrow{p} j_* T \rightarrow j_*(T/pT) \rightarrow 0$ exact sequence

where $j: \text{Spec}(\mathbb{Z}[\frac{1}{p}]) - \text{prime}(N) \hookrightarrow \text{Spec}(\mathbb{Z}[\frac{1}{p}])$

By this sequence, p -multiplication is injective $\Leftrightarrow \varprojlim_n H^0(\mathcal{Q}(\zeta_{p^n}), T/p) = 0$

For $n \geq 0$: $H^0(\mathcal{Q}(\zeta_{p^n}), T/p) = H^0(\mathcal{Q}(\zeta_{p^\infty}), T/p)$. For such n , the norm map $H^0(\mathcal{Q}(\zeta_{p^{n+1}}), T/p) \rightarrow H^0(\mathcal{Q}(\zeta_{p^n}), T/p)$ is multiplication by $[\mathcal{Q}(\zeta_{p^{n+1}}): \mathcal{Q}(\zeta_{p^n})] = p$, hence 0, and thus so is the proj limit $\varprojlim_n H^0(\mathcal{Q}(\zeta_{p^n}), T/p) = 0$.

over

If $\wedge/\wedge$ is p -torsion-free: we have for all $q \geq 0$:

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$$H^1(\mathbb{Z}[\frac{1}{p^n}], \frac{1}{p}, T) \simeq H^1(\mathbb{Z}[\frac{1}{p}], T \otimes \mathcal{O}_\lambda[G_n]) \quad (\text{why?})$$

where $G_\infty \curvearrowright T \otimes \mathcal{O}_\lambda[G_n]$ via $G_\infty \rightarrow G_\infty \otimes G_n, \sigma \mapsto \sigma \otimes \sigma_n^{-1}$ where

$G_\infty \rightarrow G_n, \sigma \mapsto \sigma_n$ is the natural projection.

$$\Rightarrow H^1(T) \stackrel{\text{def}}{=} \varprojlim_n H^1(\mathbb{Z}[\frac{1}{p^n}], \frac{1}{p}, T) \simeq \varprojlim_n H^1(\mathbb{Z}[\frac{1}{p}], T \otimes \mathcal{O}_\lambda[G_n])$$

It follows that we have an exact sequence $H^0(\mathbb{Q}, T \otimes \wedge/\wedge) \rightarrow H^1(T) \rightarrow H^1(T)$.

Wts the leftmost term is 0.

$$H^0(\mathbb{Q}, T \otimes \wedge/\wedge) = \text{Hom}_{\mathcal{O}_\lambda[G_\infty]}(\text{Hom}_{\mathcal{O}_\lambda}(\wedge/\wedge, \mathcal{O}_\lambda), T) \quad \text{with } G_\infty \curvearrowright \wedge/\wedge \text{ via } \sigma \mapsto \sigma_\infty^{-1}.$$

We show that the right hand side is trivial.

Indeed, on the one hand, $G_\infty \curvearrowright \text{Hom}(\wedge/\wedge, \mathcal{O}_\lambda)$ factors through G_∞^{ab} , but on the other hand, $V_{F_\lambda}(f)$ is irreducible of dimension 2 as a representation of G_∞ and hence not abelian. So there is no non-trivial homomorphism here. So 2) is proved.

③ Assume $p \neq 2$, $T/m_\lambda T$ irreducible. Wts $H^1(T)$ is free of rk 1 over Λ .

Recall that Λ is a 2-dimensional regular local ring.

Let $(x, y) \subseteq \Lambda$ be a maximal ideal. (For $\Lambda = \mathbb{Z}_p[[X]]$, one could pick $(x, y) = (p, T)$.) It's sufficient to show that x, y form a regular sequence, i.e. $x: H^1(T) \rightarrow H^1(T)$ and $y: H^1(T)/x \rightarrow H^1(T)/x$ are injective.

Indeed, this shows that the action of the maximal ideal is faithful, and all elements outside of (x, y) are units, hence also acting faithfully.

We have already seen that x -multiplication is injective. We have:

$$\begin{aligned} H^1(T)/x H^1(T) &= \left(\varprojlim_n H^1(\mathbb{Z}[\frac{1}{p^n}], \frac{1}{p}, T) \right) / (x) && \text{limit commute with limit} \\ &= \varprojlim_n \left(H^1(\mathbb{Z}[\frac{1}{p^n}], \frac{1}{p}, T) / (x) \right) \subseteq H^1(\mathbb{Z}[\frac{1}{p}], T \otimes_{\mathcal{O}_\lambda} \wedge/\wedge) \end{aligned}$$

We also have $0 \rightarrow j_*(T \otimes_{\mathcal{O}_\lambda} \wedge/\wedge) \xrightarrow{y} j_*(T \otimes_{\mathcal{O}_\lambda} \wedge/\wedge) \rightarrow j_*(T \otimes_{\mathcal{O}_\lambda} \wedge/(x, y)) \rightarrow 0$.

Long exact cohomology sequence: sts $H^0(\mathbb{Z}[\frac{1}{p}], T \otimes \wedge/(x, y)) = 0$.

We have $\wedge/(x, y) \simeq (\mathcal{O}_\lambda/m_\lambda)(r)$ because G_∞ acts via $G_\infty \rightarrow G_\infty$.

Since $T/m_\lambda T$ is irred: $H^0(G_\infty, (T/m_\lambda T)(r)) = 0$,

so $H^0(\mathbb{Z}[\frac{1}{p}], T \otimes \wedge/(x, y)) = 0$ as well. □

§7 Definition of the elements $z_\gamma^{(p)}$

We will define elements $z_\gamma^{(p)} \in H^1(V_{F_\lambda}(f)) \otimes_\Lambda Q(\Lambda)$. These will later be shown to interpolate the relevant L-function _{of f^*} , and they will generate a subspace important in studying ~~these~~ the lengths of H^1, H^2 .

Fix the following: $\alpha_1, \alpha_2 \in SL_2(\mathbb{Z})$

$$j_1, j_2 \in \mathbb{Z} \quad 1 \leq j_i \leq k-1$$

$$\text{such that } \delta(f, j_1, \alpha_1)^+ \neq 0 \neq \delta(f, j_2, \alpha_2)^-$$

Once again recall that these δ s are in $V_{F_\lambda}(f)$ and come from geodesics between cusps. The point of fixing these elements is that we have an F_λ -basis for the plus resp minus parts $\xrightarrow{F_\lambda\text{-linearity}}$ for the whole $V_{F_\lambda}(f)$.

So if $\gamma \in V_{F_\lambda}(f)$, then $\exists b_1, b_2 \in F_\lambda$: $\gamma = b_1 \delta(f, j_1, \alpha_1)^+ + b_2 \delta(f, j_2, \alpha_2)^-$.

Fix $c, d \in \mathbb{Z}$: $(cd, p) = 1$, $c \equiv d \equiv 1 \pmod{N}$, $c^2 \neq 1 \neq d^2$.

Def. $z_\gamma^{(p)} := \left(\mu(c, d, j_1)^{-1} \cdot b_1 \cdot \left(c, d \cdot \mathbb{Z}_{p^\infty}^{(p)}(f, k, j_1, \alpha_1, \text{prime}(pN)) \right)_{n \geq 1} \right)^- +$
 $+ \left(\mu(c, d, j_2)^{-1} \cdot b_2 \cdot \left(c, d \cdot \mathbb{Z}_{p^\infty}^{(p)}(f, k, j_2, \alpha_2, \text{prime}(pN)) \right)_{n \geq 1} \right)^+$

$$\text{where } \mu(c, d, j) := (c^2 - c^{k+1-j} \cdot \sigma_c)(d^2 - d^{j+1} \sigma_d) \prod_{\substack{l|N \\ l \neq p}} (1 - \bar{\alpha}_l l^{-k} \sigma_l^{-1}) \in \Lambda^*$$

We have: $\mu(c, d, j)$ non-zero-divisor $\Rightarrow \mu(c, d, j)^{-1} \in Q(\Lambda)$ total ring of quotients

$$\Rightarrow z_\gamma^{(p)} \in H^1(V_{F_\lambda}(f)) \otimes_\Lambda Q(\Lambda). \quad \text{Later: } z_\gamma^{(p)} \in H^1(V_{F_\lambda}(f)).$$

Here $\sigma_c: \zeta_m \mapsto \zeta_m^c$.

Properties. ① If $\gamma \in V_F(f) \subset V_{F_\lambda}(f)$, $\chi: G_\infty \rightarrow \bar{\mathbb{Q}}^*$ char of finite order s.t.

$c^2 - c^{k-j} \bar{\chi}(c) \neq 0 \neq d^2 - d^j \bar{\chi}(d)$ for $j=j_1, j_2$, and for all $p \neq l|N$, we have $1 - \bar{\alpha}_l l^{1-k} \chi(l) \neq 0$, then

$$H^1(V_{F_\lambda}(f)) \otimes_\Lambda \Lambda[\mu^{-1}] \xrightarrow{(*)} S(f) \otimes_F \bar{F}_\lambda \xrightarrow{\text{per } f} V_F(f) \otimes \mathbb{C}$$

$$\downarrow \quad \downarrow$$

$$z_\gamma^{(p)} \quad S(f) \otimes_F \bar{\mathbb{Q}} \quad \rightarrow L_S(f^*, \chi, k-1) \cdot \gamma^\pm$$

where $\mu := \mu(c, d, j_1) \cdot \mu(c, d, j_2)$.

- ② $z_{\gamma}^{(p)}$ is independent of the choices of $\alpha_1, \alpha_2, j_1, j_2, c, d$.
- ③ $z_{\gamma}^{(p)} = -\sigma_{-1}(z_{\gamma}^{(p)})$ where $v: V_{F_{\lambda}}(f) \rightarrow V_{F_{\lambda}}(f)$ is the action of c conjugation.
- ④ The elements $(c, d, z_{p^n}^{(p)}(f, k, j, a(A), \text{prime}(pA)))_{n \geq 1}$ and $(c, d, z_{p^n}^{(p)}(f, k, j, \alpha, \text{prime}(pN)))_{n \geq 1}$ can be expressed in terms of elements $z_{\gamma}^{(p)}$:

give only the formula for α

$$\begin{aligned} (c, d, z_{p^n}^{(p)}(f, k, j, a(A), \text{prime}(pA)))_{n \geq 1} &= \prod_{p \neq \ell | A} (1 - \bar{a}_{\ell} \ell^{-k} \sigma_{\ell}^{-1} + \bar{\varepsilon}(\ell) \ell^{-k-1} \sigma_{\ell}^{-2}) \cdot \\ &\cdot (c^2 d^2 z_{\gamma_1}^{(p)} - c^{k+1-j} d^2 z_{\gamma_2}^{(p)} - c^2 d^{j+1} \varepsilon(d) \sigma_d z_{\gamma_3}^{(p)} + c^{k+1-j} d^{j+1} \varepsilon(d) \sigma_{cd} z_{\gamma_4}^{(p)}) \end{aligned}$$

where $\gamma_1, \gamma_2, \gamma_3, \gamma_4$ are given explicitly as δ_{S_i}

$$(c, d, z_{p^n}^{(p)}(f, k, j, \alpha, \text{prime}(pN)))_{n \geq 1} = (c^2 - c^{k+1-j} \sigma_c) \cdot (d^2 - d^{j+1} \sigma_d) \cdot \prod_{p \neq \ell | N} (1 - \bar{a}_{\ell} \ell^{-k} \sigma_{\ell}^{-1}) \cdot z_{\gamma}^{(p)}$$

with $\gamma = \delta(f, j, \alpha)$.

Proof. ① follows from the definition by the same argument as in §5, where we discussed the map $(*)$.

② follows from part 2) of Main Thm. 1: that shows that $H^1(V_{F_{\lambda}}(f))$ is free of rank 1 over $\Lambda[\frac{1}{p}]$.

Knowing where $z_{\gamma}^{(p)}$ goes under the isomorphism map $(*)$ pins it down.

③ Under $(*)$, the action of σ_{-1} on $H^1(V_{F_{\lambda}}(f))$ becomes the action of $-\chi(-1)$ on $S(f) \otimes_{\mathbb{F}} \bar{F}_{\lambda}$.

Now the rank 1 freeness statement from before shows the claim.

④ Same as ①.

□

Remark. Soon we will come to our remaining ~~two~~ main theorems. MT2 is about the module generated by the elements in ④. MT3 is about the elements $z_{\gamma}^{(p)}$ and L-values. and their relationship to the elements $z_{\gamma}^{(p)}$.

Lemma. For $A \geq 1$ and $v: (\mathbb{Z}/A)^{\times} \rightarrow \bar{\mathbb{Q}}^{\times}$:

- ④ If $c, d \in \mathbb{Z}$ st. $(c, 6Ap) = 1 = (d, 6ANp)$, then

$$\sum_{a \in (\mathbb{Z}/A)^{\times}} v(a) \cdot (c, d, z_{p^n}^{(p)}(f, k, k-1, a(A), \text{prime}(pA)))_{n \geq 1} = \left(\sum_{a \in (\mathbb{Z}/A)^{\times}} v(a) z_{\delta(f, k-1, a(A))}^{(p)} \right) \cdot \prod_{p \neq \ell | A} (1 - \bar{a}_{\ell} \ell^{-k} \sigma_{\ell}^{-1} + \bar{\varepsilon}(\ell) \ell^{-k-1} \sigma_{\ell}^{-2}) \cdot (c^2 - c^2 v(c) \sigma_c) \cdot (d^2 - d^k \varepsilon(d) v(d) \sigma_d)$$

$\in H^1(V_{F_{\lambda}}(f)) \otimes_{\Lambda} \mathbb{Q}(\lambda)$
- ⑤ $\sum_{a \in (\mathbb{Z}/A)^{\times}} v(a) \delta(f, k-1, a(A)) \neq 0 \in V_{F_{\lambda}}(f) \otimes \bar{\mathbb{Q}}$ if $L_{\text{prime}(A)}(f^*, v^{-1}, k-1) \neq 0$ and v has conductor A .

Proof: ④ is just a reformulation of ④. Probably even the statement can be omitted. 9

⑤ is based on studying pairings:

$$\langle \cdot, \cdot \rangle: H^1 \times H^1 \longrightarrow \mathbb{Z} \quad (\text{integrating against each other})$$

induces a pairing

$$\langle \cdot, \cdot \rangle: \text{Sym}_{\mathbb{Z}}^{k-2}(H^1) \times \text{Sym}_{\mathbb{Z}}^{k-2}(H^1) \longrightarrow \mathbb{Q}$$

$$(x_1, \dots, x_{k-2}), (y_1, \dots, y_{k-2}) \longmapsto \frac{1}{(k-2)!} \sum_{\sigma \in S_{k-2}} \prod_{j=1}^{k-2} \langle x_{j_i}, y_{\sigma j} \rangle$$

which induces a perfect pairing

$$\langle \cdot, \cdot \rangle: V_k(Y_1(N)) \times V_{k,c}(Y_1(N)) \longrightarrow \mathbb{Q}$$

by the definitions of $V_k(Y_1(N)) := H^1(Y_1(N)(\mathbb{C}), \text{Sym}_{\mathbb{Z}}^{k-2}(H^1)) \otimes_{\mathbb{Z}} \mathbb{Q}$
 and $V_{k,c}(Y_1(N)) := H_c^1(Y_1(N)(\mathbb{C}), \text{Sym}_{\mathbb{Z}}^{k-2}(H^1)) \otimes_{\mathbb{Z}} \mathbb{Q}$

This in turn induces a ^{map} pairing $\langle \cdot, \text{per}(f^*) \rangle: V_F(f) \longrightarrow \mathbb{C}$.

since $\text{per}: S_k(X_1(N)) \rightarrow V_{k,c,c}(Y)$.

Computation:

$$\sum_{a \in (\mathbb{Z}/A)^*} v(a) \langle \delta(f, k-1, a(A)), \text{per}(f^*) \rangle \stackrel{\text{unraveling def of pairing}}{=} \sum_{a \in (\mathbb{Z}/A)^*} v(a) \cdot (-2\pi)^{k-1} \cdot A^{k-2} \int_0^{\infty} f^*(y + \frac{a}{A}) y^{k-2} dy$$

$$= (-1)^{k-1} A^{k-2} \cdot (k-2)! \cdot \underbrace{\left(\sum_{a \in (\mathbb{Z}/A)^*} v(a) \cdot \zeta_A^a \right)}_{\text{Gauß sum}} \cdot L_{\text{prime}(A)}(f^*, v^{-1}, k-1)$$

For a Gauß sum $G(v, \zeta_A)$ where v is primitive of order A — i.e. has conductor A —, we have $|G(v, \zeta_A)|_{\mathbb{C}} = \sqrt{A} \neq 0$.

So pairing against $\text{per}(f^*)$ is non-zero, and thus the original sum is also $\neq 0$ by perfectness of the pairing. □

§8 Main theorem 2

$$Z(f, T) := \left\langle \zeta_{\gamma}^{(p)}: \gamma \in T \right\rangle_{\Lambda} \leq H^1(T) \otimes \mathbb{Q} \quad \text{for } T := V_{O_{\Lambda}}(f).$$

$$Z := \left\langle \text{the elements in ④} \right\rangle_{\Lambda} \leq H^1(V_{O_{\Lambda}}(f))$$

Main theorem 2: $Z \subseteq Z(f, T)$ and $Z(f, T)/Z$ is finite.

Recall the second formula of (4). Moreover recall that Ash-Stevens showed that the $\mathcal{I}_S$ generate $V_{kZ}(Y(L))$, so we can write any γ as a linear combination of such $\mathcal{I}_S$, and this lin comb is finite because the set in Ash-Stevens is finite.

We will show: $\forall p \in \text{Spec}(\Lambda), \text{ht}(p) = 1, p \notin \mathfrak{p}: Z(f, T)_{\mathfrak{p}} = Z_{\mathfrak{p}}.$

Let p be such a prime, $c, d \in \mathbb{Z}$ s.t. $(c, 6p) = 1 = (d, 6N_p)$, $c^2 \neq 1 \neq d^2$.

$$(ii) \quad c^2 - c^2 v(c)^{-1} \hat{G}_c \neq 0$$

$$(iv) \quad v(-1) = \pm 1 := \begin{cases} 1 & \text{img of } \sigma_{-1} \text{ in } \Lambda/p \text{ is } 1 \\ -1 & \text{---} \end{cases}$$

auxiliary
conditions

Then $z_f^{(p)}$ and $\frac{1}{p} z_f^{(p)}$ have the same image under $H^1(V_{F_2}(f)) \rightarrow H^1(V_{F_2}(f)) \otimes \mathbb{Q}(1_p)$

explicit
computation

by F_X -linearity of γ . Hence

for some $\tau \in \left(\mathcal{O}_{(N_p)(v)} \llbracket G_\infty \rrbracket_{p'} \right)^{\times}$.

$$\Rightarrow \exists x^{(p)} \in \text{---} \quad \text{in } \text{---}$$

$$\Rightarrow \quad z_{\gamma}^{(p)} \in \mathbb{Z}_p \quad \Rightarrow \quad \mathbb{Z}(f, T)_p = \mathbb{Z}_p, \text{ as claimed.}$$

§9 Main theorem 3

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We collect the properties of $z_\gamma^{(p)}$ in the following:

Main theorem 3: 1) The ~~Exposition~~ map $z: V_{F_\lambda}(f) \longrightarrow H^1(V_{F_\lambda}(f)), \gamma \mapsto z_\gamma^{(p)}$

is the unique F_λ -linear map with the property that:

a) its image under $\otimes_{(k-r)}^{(k-r)}$

$$H^1(V_{F_\lambda}(f)) \xrightarrow{-\otimes (\zeta_p^n)_{n \geq 1}} H^1(V_{F_\lambda}(f)(k-r)) \longrightarrow H^1(\mathbb{Q}_p(\zeta_p^n), V_{F_\lambda}(f)(k-r)) \xrightarrow{\exp^*} S(f) \otimes_{F_\lambda} \mathbb{Q}(\zeta_p^n)$$

is in $S(f) \otimes_{\mathbb{Q}} \mathbb{Q}(\zeta_p^n)$

b) the image of this under $S(f) \otimes_{\mathbb{Q}} \mathbb{Q}(\zeta_p^n) \longrightarrow V_{\mathbb{C}}(f)^{\pm}$

$$x \otimes y \longmapsto \sum_{\sigma \in G_n} \chi(\sigma) \sigma(y) \text{ per }_{\mathbb{P}}(x)^{\pm}$$

for $\chi: G_n \rightarrow \mathbb{C}^*$ and $\pm = (-1)^{k-r-1} \chi(-1)$ is $(2\pi i)^{k-r-1} \cdot L_{\{p\}}(f^*, \chi, r) \cdot \gamma^{\pm}$

2) $H^1(V_{F_\lambda}(f))/Z(f)$ is a torsion $\Lambda \otimes \mathbb{Q}$ -module where

$$Z(f) := \langle z_\gamma^{(p)} : \gamma \in V_{F_\lambda}(f) \rangle_{\Lambda \otimes \mathbb{Q}} \leq H^1(V_{F_\lambda}(f))$$

$$3) \text{ length}_{\Lambda_p} (H^2(V_{F_\lambda}(f))_p) \leq \text{length}_{\Lambda_p} \left(\frac{H^1(V_{F_\lambda}(f))_p}{Z(f)_p} \right) + \text{length}_{\Lambda_p} (H^2_{\text{loc}}(V_{F_\lambda}(f))_p)$$

for all $p \in \text{Spec}(\Lambda)$, $\text{ht}(p) = 1$, $p \nmid p$.

3') Strengthening: $H^2_{\text{loc}} \neq 0 \rightarrow K=2$, f is not potentially of good reduction at p , then $p = \ker(\Lambda \rightarrow F_\lambda)$ induced by $\pi^{-2}\chi: G_\infty \rightarrow F_\lambda^*$ with $\exists \chi: G_\infty \rightarrow F_\lambda^*$ of fin order, and $\text{length}_{\Lambda_p} (H^2_{\text{loc}}(V_{F_\lambda}(f))_p) = 1$.

(The condition here means that $\nexists K/\mathbb{Q}_p$ finite such that $\forall v \nmid p$ finite place of F , $G_K \curvearrowright V_{F_v}(f)$ unramified, and $\forall v \mid p$, $G_K \curvearrowright V_{F_v}(f)$ crystalline. In particular, $p \nmid N \Rightarrow$ pot. of good red.)

4) For any $G_{\mathbb{Q}}$ -stable $\mathcal{O}_\lambda$ -lattice $T \subseteq V_{F_\lambda}(f)$: if $p \neq 2$ and if T has an $\mathcal{O}_\lambda$ -basis s.t. $SL_2(\mathbb{Z}_p) \subseteq \text{im}(\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}(\zeta_p^\infty)) \rightarrow GL_{\mathcal{O}_\lambda}(T) \simeq GL_2(\mathcal{O}_\lambda))$, then

$$Z(f, T) \subseteq H^1(T) \subseteq H^1(T) \otimes \mathbb{Q}$$

$$\text{and } \text{length}_{\Lambda_p} (H^2(V_{F_\lambda}(f))_p) \leq \text{length}_{\Lambda_p} (H^1(V_{F_\lambda}(f))_p / Z(f)_p)$$

$\forall p \in \text{Spec}(\Lambda)$, $\text{ht}(p) = 1$, unless the consequence of 3') holds.

omit

Proof: 1) Let $r \in \mathbb{Z}$, $1 \leq r \leq k-1$, $\chi: G_{\mathbb{Q}} \rightarrow \bar{\mathbb{Q}}^*$ finite order. Uniqueness: MT1.2, 1-dimensionality. 12

By the same argument as in the proof of MT2, we can describe the image of $z_{\chi}^{(p)}$ explicitly, and the theorems of Kato about the image of zeta elements under per/exp^* finish the proof, see as in i)

2) Recall from MT1.2 that $H^1(T) \otimes \mathbb{Q}$ is free of rk 1 over $\Lambda \otimes \mathbb{Q}$.

Since $Z_{\chi}(f)_p \neq 0$ by i) for $\text{ht}(\sigma) = 0$, the quotient has no other choice than to be trivial.

3) Follows from ESM.2: $\text{length}_{\Lambda_p}(\ker(H^2(T) \rightarrow H^2_{\text{loc}}(T))) \leq \text{length}_{\Lambda_p}(\Lambda_p / \mathcal{I}_p)$ where $\mathcal{I} := (h(Z) : h \in \text{Hom}_{\Lambda}(H^1(T), \Lambda))$.

3') we omit, one has to do p-adic Hodge theory.

4) One can show that $T = a \cdot V_{O_{\lambda}}(f)$ for some $a \in F_{\lambda}^*$. This is something Kato may prove. So wma $T = V_{O_{\lambda}}(f)$. Since $Z(f, T)/\mathbb{Z}$ is finite, (Lema 14.7)

we have $Z(f, T)_p \subseteq H^1(T)_p \quad \forall p \in \text{Spec}(\Lambda), \text{ht}(p) = 1.$ } $\Rightarrow Z(f, T) \subseteq H^1(T)$
 MT1.2 $\Rightarrow H^1(T)$ is ^{torsion} free over Λ

The inequality is ESM.3. □

§10 Main conjecture

Classical Iwasawa theory:

$$H^1(\mathbb{Z}[\zeta_{p^n}, \frac{1}{p}], \mathbb{Z}_p(1)) \simeq \mathbb{Z}[\zeta_{p^n}, \frac{1}{p}]^{\times} \otimes_{\mathbb{Z}} \mathbb{Z}_p \quad \simeq (1 - \zeta_{p^n}) \cdot (1 - \zeta_{p^n}^{-1})$$

$$H^2(\mathbb{Z}[\zeta_{p^n}, \frac{1}{p}], \mathbb{Z}_p(1)) \simeq \mathcal{A}(\mathbb{Q}(\zeta_{p^n})) \otimes_{\mathbb{Z}} \mathbb{Z}_p$$

$$\mathbb{Z} := \left\langle ((1 - \zeta_{p^n})(1 - \zeta_{p^n}^{-1}))_{n \geq 1} \right\rangle_{\mathbb{Z}_p[[G_{\infty}]]_+} \leq H^1(\mathbb{Z}_p(1))^+$$

↑
Euler system

Mazur-Wiles: (IMC) $\text{length}_{\mathbb{Z}_p[[G_{\infty}]]_{+, p}}(H^2(\mathbb{Z}_p(1))^+_p) = \text{length}_{\mathbb{Z}_p[[G_{\infty}]]_{+, p}}(H^1(\mathbb{Z}_p(1))^+_p / \mathbb{Z}_p)$

The analogous IMC for modular forms: (comes from motivic version)
 Kato, Perrin-Riou

Conjecture. (IMC) For T a $G_{\mathbb{Q}}$ -stable O_{λ} -lattice in $V_{F_{\lambda}}(f)$, and $p \in \text{Spec}(\Lambda)$, $\text{ht}(p) = 1$. (assuming $2 \notin p$ if $p = 2$):

we have $Z(f, T)_p \subseteq H^1(T)_p$

$$\text{and } \text{length}_{\Lambda_p}(H^2(T)_p) = \text{length}_{\Lambda_p}(H^1(T)_p / Z(f, T)_p).$$

So: Here we proved one inequality.